

04/13/01



jc96 U.S. PTO

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PTO/SB/05 (08/00)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket

H0001266

First Inventor

Raymond W. Borden

Title

MULTI-POLE HIGH SPEED GENERATOR WITH A MODULAR RECTIFIER CIRCUIT

Express Mail
Label No.

EL645094993US

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 202311. ☒ **Fee transmittal Form**
(Submit an original and a duplicate for fee processing)2. ☐ **Applicant claims small entity status**
See 37 CFR 1.27.3. ☒ **Specification** (Total Pages)
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed Sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ **Drawing(s)** (35 USC 113) (Total Sheets)5. **Oath or Declaration** (Total Pages)a. ☒ **Newly executed (original or copy)**b. ☐ **Copy from prior Application (37 CFR 1.63(d))**
(for continuation/divisional with Box 17 completed)i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).6. ☐ **Application Data Sheet. See 37 CFR 1.76**7. ☐ **CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)**8. **Nucleotide and/or Amino Acid Sequence Submission**
(if applicable, all necessary)a. ☐ **Computer Readable Form (CRF)**b. **Specification Sequence Listing on**i. ☐ **CD-ROM or CD-R (2 Copies); or**ii. ☐ **paper**c. ☐ **Statements verifying identity of above copies****ACCOMPANYING APPLICATION PARTS**9. ☒ **Assignment Papers (cover sheet & documents)**10. ☐ **37 CFR 3.73(b) Statement** ☒ **Power of Attorney**
(where there is an assignee)11. ☐ **English Translation Document (if applicable)**12. ☒ **Information Disclosure Statement (IDS)/PTO-1449** ☒ **Copies of IDS Citations**13. ☐ **Preliminary Amendment**14. ☒ **Return receipt postcard (MPEP 503)**
(Should be specifically itemized)15. ☐ **Certified copy of priority Document(s)**
(if foreign priority is claimed)16. ☐ **Other:**

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: and in a preliminary amendment or in an

☐ **Continuation**☐ **Divisional**☐ **Continuation-in-part (CIP)** of prior application no. / Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby

18. CORRESPONDENCE ADDRESS☐ **Customer Number or Bar Code Label**

(Insert Customer No. or Attach bar code label here)

or ☒ **Correspondence address below**

NAME

Keith Newbury, Esq.

Honeywell International, Inc.

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Name

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Registration No.

45,264

Signature

Date: 4/13/01

Burden Hour Statement: This form is estimated to take 1/2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL**for FY 2000**

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement
otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§1.27 and 1.28

TOTAL AMOUNT OF PAYMENT 1836.00

Complete if Known

Application Number	
Filing Date	April 13, 2001
First Named Inventor	Raymond W. Borden, et al
Group Art Unit	
Examiner Name	
Attorney Docket Number	H0001266

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 01-1125
Deposit Account Name Honeywell International, Inc.

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	620	201	345	Utility filing fee	710.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					710.00

2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
67	-20**= 47	X 18	= 846.00
*Independent 6	-3**= 3	X 80	= 240.00
Multiple Dependent Claims		0	= 0.00
			1086.00

** or number previously paid, if greater, For reissues see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$1086.00)

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	620	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)					
Other fee (specify)					
SUBTOTAL (3)					40.00

SUBMITTED BY

Typed or Printed Name Paul D. Amrozowicz

Registration No. (Attorney/Agent)

45,264

Complete (if applicable)

Telephone

602-230-5542

Signature

Date

4/13/01

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

04/17/2001 EFLORES 00000073 011125 09834595

01 FC:101	710.00 CH
02 FC:103	846.00 CH
03 FC:102	240.00 CH